

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicants:

S. OKUNO, et al.

Application No.:

10/753,483

Filed:

**JANUARY 9, 2004** 

For:

RAILWAY CAR

Art Unit:

3617

6885

Examiner:

Frantz F. Jules

Confirm. No.:

INFORMATION DISCLOSURE STATEMENT

**UNDER 37 CFR 1.97 AND 1.98** 

Mail Stop: AMEND - NO FEE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

November 21, 2005

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of an Official Action dated August 19, 2005, from the Korean Patent Office, in connection with Korean Patent Application No. 2002-10215, which corresponds to the aboveidentified application. Also enclosed are copies of documents cited in this Official Action. Further enclosed is a Form PTO/SB/08A and B, listing the enclosed documents.

This Information Disclosure Statement is being submitted subsequent to a first Office Action on the merits in the above-identified application, but prior to a Final Office Action or issuance of a Notice of Allowance, or issuance of any other action closing prosecution on the merits in the above-identified application. For satisfying requirements of 37 CFR 1.97(c), the following Statement is made under 37 CFR 1.97(e):

> Each item of information contained in this Information Disclosure Statement was first cited in any communication from a

Docket No. 648.41258CX1 Serial No. 10/753,483 November 21, 2005

foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement, noting that the 3-month period ended on Saturday, November 19, 2005, and further noting 37 CFR 1.7.

It is respectfully submitted that requirements of 37 CFR 1.98(a)(3) are satisfied, in connection with documents cited in the enclosed Official Action and enclosed herewith, by respective English abstracts of the cited documents enclosed herewith.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR 1.97 and 1.98 have been satisfied in connection with each of the documents listed on the enclosed Form, that were cited in the enclosed Official Action. Accordingly, consideration of the listed documents, upon further examination of the above-identified, is respectfully requested.

Please charge any shortage of fees due in connection with the filing of this paper to the Deposit Account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (Docket No. 648.41258CX1), and please credit any excess fees to such Deposit Account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

William I. Solomón

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PTO/SB/08A (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute	e for form 1449A/PTO RMATION DISCLOSU			Complete if Known		
BY APPLICANT				Application Number	10/753.483	
				Filing Date	01/09/2004	
,	November 21.	<u> 2005</u>		First Named Inventor	S. OKUNO	
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(use as many sneets as necessary)				Examiner Name	Frantz F. Jules	
Sheet	1	of	2	Attorney Docket Number	648.41258CX1	

U.S. PATENT DOCUMENTS							
Examiner Initials'	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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FOREIGN PATENT DOCUMENTS						
Examiner Initials'	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		JP 11-301476	11/02/1999	J. Kajima, et al.		Α
		JP 11-192941	07/21/1999	K. Kurita		Α
		JP 02-246863	10/02/1990	A. Mochizuki, et al.		A
					**	

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

November 21, 2005

(use as many sheets as necessary) Sheet 2

Complete if Known						
Application Number	10/753,483					
Filing Date	01/09/2004					
First Named Inventor	S. OKUNO					
Group Art Unit	3617					
Examiner Name	Frantz F. Jules					
Attomey Docket Number	648.41258CX1					

	1	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
		Korean Office Action, for Application No. 2002-10215, dated August 19, 2005.	
			T

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

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